| Form PTO 1390 U.S. DEPARTMENT OF COM   | ATTORNEY'S DOCKET NUMBER B45308                    |                                    |  |  |  |
|--|--|------------------------------------|--|--|--|
| TRANSMITTAL LETTER 1 DESIGNATED / ELECTE CONCERNING A FILING   | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) |                                    |  |  |  |
| INTERNATIONAL APPLICATION NO. PCT/EP03/006094  | INTERNATIONAL FILING DATE<br>10 June 2003          | PRIORITY DATE CLAIMED 13 June 2002 |  |  |  |
| TITLE OF INVENTION VACCINE COMPRISING MIXTURES OF MULTIVALENT MENINGOCOCCAL OUTER MEMBRANE VESCILES                    |  |                                    |  |  |  |
| APPLICANT(S) FOR DO/EO/US Ramon F. BARBERA MORALES, Pierre M. DESMONS, Francisco J. DOMINGUEZ ALVAREZ, and Jan POOLMAN |  |                                    |  |  |  |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [x] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [x] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- [x] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [x] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [x] has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S. C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; and Form PTO-1449.
- 12. [] An assignment document for recording: A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13. [X] A FIRST preliminary amendment.

## (Add claim to priority via Preliminary Amendment for US originating cases only)

- 14. [] A SECOND or SUBSEQUENT preliminary amendment.
- 15. [] A substitute specification.
- 16. [] A change of power of attorney and/or address letter.
- 17. [x] An Abstract on a separate sheet of paper.
- 18. [x] Copy of Form PCT/ISA/210.
- 19. [] Other items or information.

| US APPLICATION NO. (if known see 37 CFR 1.50)  |                         | INTERNATIONA PCT/EP03/0 | L APPLICATION NO.<br>006094 | ATTORNEYS DOCKET NO. B45308 |              |
|--|-------------------------|-------------------------|-----------------------------|-----------------------------|--------------|
| 20. [X] The following fees are submitted:  |                         |                         |                             | CALCULATIONS                | PTO USE ONLY |
| Basic National Fee (37 C.F.R. 1.492(a)(1)-(5)):  |                         |                         |                             |                             |              |
| Search Report has been prepared by the EPO or JPO\$950.00  |                         |                         |                             |                             | •            |
| International Preliminary Examination Fee paid to USPTO (37 CFR 1.492) \$750.00  |                         |                         |                             |                             |              |
| No Internation   | nal Preliminary Examina |                         |                             |                             |              |
| but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$790.00  |                         |                         |                             |                             |              |
| Neither International Preliminary Examination Fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,110.00                           |                         |                         |                             |                             |              |
| International  | Preliminary Examinatio  |                         |                             |                             |              |
| and all claims satisfied provisions of PCT Article 33(2)-(4)   |                         |                         |                             | \$950.00                    |              |
|  |                         |                         |                             | \$0.00                      |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than \( \sum 20 \subseteq 30 \) months from the earliest claimed priority date (37 CFR 1.492(e)). |                         |                         |                             | \$v.vv                      |              |
| Claims   |                         | lumber Extra            | Rate                        |                             |              |
| Total claims   | 14 - 20 =               | 0                       | 0 x \$18.00                 | \$0.00                      |              |
| Independent<br>claims  | 1-3=                    | 0                       | 0 x \$88.00                 | \$0.00                      |              |
| Multiple dependent claims (if applicable) + \$300.00   |                         |                         |                             | \$0.00                      |              |
| TOTAL OF ABOVE CALCULATIONS =  |                         |                         |                             | \$950.00                    |              |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).                       |                         |                         |                             | \$                          |              |
| SUBTOTAL =   |                         |                         |                             | \$950.00                    |              |
| Processing fee of \$130.00 for furnishing the English translation later than  20 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +               |                         |                         |                             | \$                          |              |
| TOTAL NATIONAL FEE =   |                         |                         |                             | \$950.00                    |              |
|  |                         |                         |                             | Amount to be refunded       | 1 '          |
|  |                         |                         |                             | charged                     | \$           |

a. A check in the amount of 5 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. 19-2570 in the amount of \$950.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2570. A duplicate copy of this sheet is enclosed.

d. General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extension of time relating to this application (37 CFR 1.136 (a)(3)).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**GLAXOSMITHKLINE** 

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SIGNATURE

James M. Kanagy

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REGISTRATION NO.

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